

THOMAS W. SZROMBA



EXPERIENCE

THE BOEING COMPANY

Chicago, IL

Principal Senior Counsel

2006-present

Supervise and conduct internal investigations across all divisions and subsidiaries of the company. Matters have included allegations of mischarging to government contracts, kickbacks from suppliers, financial statement impropriety, and violations of federal and state laws and regulations, as well as internal company policies and procedures. In appropriate cases, present findings to employee corrective action review boards. Also, respond to external investigations being conducted by government agencies and manage civil litigation in which Boeing is involved, including working with outside counsel to ensure efficient and effective representation for the company.

UNITED STATES ATTORNEY'S OFFICE

Chicago, IL

Northern District of Illinois, Eastern Division

Assistant United States Attorney

2001 - 2006

Supervised investigations conducted by federal law enforcement agencies, including the FBI, IRS, DEA, and Secret Service. Conducted grand jury investigations. Conducted all aspects of criminal litigation, including pre-trial discovery and motion practice, jury trials, post-trial motion practice, and appeals. Cases primarily involved corporate, bank, and securities fraud, as well as violations of firearm, immigration, and money laundering statutes.

SECURITIES & EXCHANGE COMMISSION

Chicago, IL

Midwest Regional Office, Division of Enforcement

Senior Trial Counsel

1998 - 2001

Acted as lead trial counsel in enforcement actions approved by the Commission. Supervised and conducted administrative proceedings and federal civil injunctive litigation. Cases involved internet fraud, fraudulent sales practices, financial fraud, insider trading, and manipulation of mutual fund share prices. Litigated actions brought against auditors practicing before the Commission.

Honors: Stanley Sporkin Award, 2000

Branch Chief

1997 - 1998

Supervised team of four attorneys investigating violations of the federal securities laws. Approved investigative plans and supervised their implementation.

Senior Attorney

1996 - 1997

Attorney

1994 - 1996

Investigated securities laws violations. Subpoenaed and analyzed brokerage, banking, accounting, and other records; subpoenaed testimony from witnesses; drafted memoranda to the Commission.

SWIDLER & BERLIN, CHARTERED

Washington, D.C.

Associate

1991 - 1994

Extensive commercial litigation experience. *Pro bono* activities included state death penalty litigation, including arguing a direct appeal to the Supreme Court of Georgia.

HON. HAROLD A. BAKER

Champaign, IL

United States District Court for the Central District of Illinois

1990 - 1991

Law Clerk

EDUCATION

NEW YORK UNIVERSITY SCHOOL OF LAW

New York, NY

Juris Doctor

1990

Honors: Note Editor, *NYU Annual Survey of American Law*

UNIVERSITY OF NOTRE DAME

South Bend, IN

Bachelor of Arts in English, *with honors*

1987

Honors: Four Year Arts and Letters/Science Honors Program

MISCELLANEOUS

Member of Illinois Bar

Member, Association of Corporate Counsel

Member, Association of SEC Alumni

Frequent speaker at continuing legal education events. Recent engagements have included programs sponsored by the Public Law Institute (Internal Investigations: 2015, 2013, 2012), the American Bar Association (White Collar Crime Conference: 2012), the American Conference Institute (False Claims Act Enforcement: 2016, 2015), and Marcus Evans (Chief Litigation Officers Summit: 2011).

Volunteer activities include acting as a senior project mentor at North Lawndale College Prep high school; assisting at the Greater Chicago Food Depository; and working with Old St. Patrick's Church to raise funds for service trips for teenagers and to start a *pro bono* law clinic in the North Lawndale neighborhood.