

VON MATTHEWS

EDUCATION

St. Xavier University

Masters Business Administration (MBA), Financial Fraud Examination 2014

Honors 3.75/4.0 GPA

Western Illinois University

Bachelors of Science, Law Enforcement Administration 1984

Minor: Sociology

RELATED EXPERIENCE

Northern Trust Corporation

Senior Vice President - Global Head of Fraud Risk

June 2007 – Current

The Northern Trust Corporation is an American international financial services company headquartered in Chicago, Illinois. It provides investment management, asset and fund administration, fiduciary and banking services through a network of 85 offices in 18 U.S. states and 20 international offices in North America, Europe and the Asia-Pacific region. Northern Trust employs 15,000 employees worldwide.

- Responsibilities include management and oversight of day to day operations of a team of investigators & fraud prevention specialists who comprise the Global Fraud Prevention & Investigations Unit. Responsibilities include case review, investigations and fraud prevention systems oversight, and provide updates to senior management on significant investigations.
- Develop corporate-wide fraud prevention & investigation policies, procedures, investigator and fraud metrics reporting, and anti-fraud training/awareness. Provide fraud updates/metrics for quarterly Business Risk Committee of the Northern trust Board of Directors, as well as federal and state banking regulators. Review and investigate matters based on receipt of legal process (subpoenas).
- Initiated and led the development of the Global Anti-Fraud Policy and Program for the corporation. Participate on Anti-Money Laundering (AML) Risk & Policy Council, AML Oversight Committee, as well as the Operations Risk Product Review committee. Active role in the Cyber Threat Fusion Group operations.
- Oversee Suspicious Activity Reporting (SAR) program. This includes reviewing and editing of all fraud related SARs prior to submission, as well as subsequent filing and maintaining of SAR files. Liaison with federal/state regulators, prosecutors, FBI, Secret Service, Chicago Police, etc., as required to investigate incidents of significant fraud. Coordinate and pursue restitution/recovery of funds in conjunction with probation officers, courts, banks, etc., lost due to fraud events.
- Advise and consult on fraud matters to Northern Trust office personnel located in Europe, Middle East, and Asia (EMEA). Oversee and manage investigations of suspicious or fraudulent activity internationally. Engage outside investigations firms as required for international work. Work with local partners in these regions to ensure required documentation and reporting to appropriate regulatory, governmental and law enforcement agencies as required.

Fifth Third Bank**Vice President - Regional Investigations Manager****March 2005 – June 2007**

Manage and oversee day to day operations of regional investigators located in Illinois, Indiana, Michigan & Florida. Facilitated a team of Risk professionals to develop investigative policy and procedures. Oversight and response to investigations of bank robbery, internal embezzlement, loan and mortgage fraud, theft, etc., and provided anti-fraud training for bank staff and clients.

Northern Trust Bank**Vice President – Investigations****June 1990 – March 2005**

Manage and oversee day to day operations of staff investigators, new account opening fraud review process. Oversee Suspicious Activity Reporting (SAR) Program, fraud metrics reporting, budgeting.

Spiegel Inc.**Investigations Manager/ Security Manager****May 1983 – June 1990**

As Investigations Manager, I oversaw and conducted investigations into theft of merchandise and property frequently utilizing covert electronic surveillance equipment and techniques. Worked frequently with local law enforcement. Certified training in Interview & Interrogation methods. As Security Manager, supervised uniformed staff of 65 security officers responsible for loss prevention and recovery.

MEMBERSHIPS

Association of Certified Fraud Examiners (ACFE)
 Director - Association of Financial Crimes Investigators (AFCI)
 Chairman – Financial Institutions Group (FIG)
 Cook County Retail Organized Crime Taskforce (CCROC)
 International Association of Financial Crimes Investigators (IAFCI)
 Board Member – Identity Theft Assistance Center Foundation (ITAC)

ARTICLES

“The Growing Menace of Retail Fraud” *The RMA Journal*, September 2003